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Abstract

Numerous factors contribute to understanding speech in noisy listening environments. There is a clinical need for objective biological assessment of auditory factors that contribute to the ability to hear speech in noise, factors that are free from the demands of attention and memory. Subcortical processing of complex sounds such as speech (auditory brainstem responses to speech and other complex stimuli [cABRs]) reflects the integrity of auditory function. Because cABRs physically resemble the evoking acoustic stimulus, they can provide objective indices of the neural transcription of specific acoustic elements (e.g., temporal, spectral) important for hearing speech. As with brainstem responses to clicks and tones, cABRs are clinically viable in individual subjects. Subcortical transcription of complex sounds is also clinically viable because of its known experience-dependence and role in auditory learning. Together with other clinical measures, cABRs can inform the underlying biological nature of listening and language disorders, inform treatment strategies, and provide an objective index of therapeutic outcomes. In this article, the authors review recent studies demonstrating the role of subcortical speech encoding in successful speech-in-noise perception.

Keywords

auditory brainstem, speech-in-noise perception, plasticity

Introduction

In today's society, we are constantly bombarded by various types of background noise, and the ability to communicate in the presence of noise is an important task for successful participation in educational, social, and vocational environments. Speech-in-noise (SIN) perception is a complex task, and it poses particular demands on older adults and children with noise exclusion deficits (e.g., dyslexia, auditory processing disorders, specific language impairment, autism spectrum disorder, and attention deficit hyperactivity disorder). Auditory brainstem responses to speech and other complex stimuli (cABRs) afford the opportunity to objectively evaluate biological factors associated with successful SIN perception. In this review, we summarize studies that have examined subcortical temporal and spectral speech encoding and its relationship to SIN perception. Taken as a whole, these studies demonstrate the clinical viability of cABRs using a variety of different analysis techniques.

Auditory Brainstem Responses to Complex Stimuli

The cABR is ideal for evaluating auditory processing because of its high degree of transparency between the stimulus waveform and the brainstem response waveform. This similarity is

apparent visually (Skoe & Kraus, 2010; Figure 1) as well as through aural demonstrations (Galbraith, Arbagey, Branski, Comerci, & Rector, 1995).¹ cABRs represent the features of frequency and timing in speech, music, and other stimuli, thus providing an opportunity to examine auditory processing of these behaviorally relevant sounds.

The cABR has high test–retest reliability (Russo, Nicol, Musacchia, & Kraus, 2004; Song, Nicol, & Kraus, IN PRESS), comparable with that of clicks and tone bursts (Gorga, Kaminski, Beauchaine, & Jesteadt, 1988; Hall & Mueller, 1997), and similarly, deviations on the order of fractions of milliseconds can be considered clinically significant (Banai et al., 2009; Basu, Krishnan, & Weber-Fox, 2009; Billiet & Bellis, IN PRESS; Cunningham, Nicol, Zecker, & Kraus, 2000; Wible, Nicol, & Kraus, 2004). The cABR is more effective than the click-evoked auditory brainstem response (ABR) for differentiating auditory function in typically developing children from children with auditory-based learning impairments (Cunningham, Nicol, Zecker, Bradlow, & Kraus, 2001; Song, Banai, Russo, & Kraus, 2006; Wible et al., 2004), poor SIN

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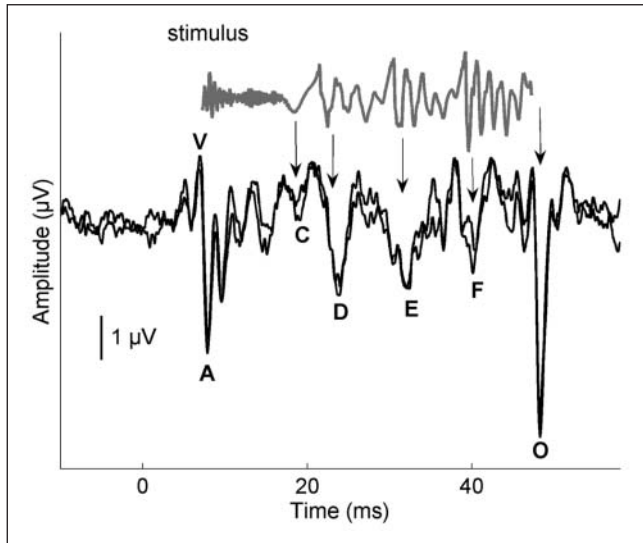


Figure 1. Time domain of a 40-ms stimulus /da/ (gray) and response (black)

Note. The stimulus evokes characteristic peaks in the response, labeled V, A, C, D, E, F, and O. The stimulus waveform has been shifted to account for neural lag and to allow visual alignment between peaks in the response and the stimulus. The arrows indicate where peaks in the stimulus correspond to peaks in the response. Two response waveforms of an individual participant are included to demonstrate replicability. Modified from Skoe and Kraus (2010).

abilities (Anderson, Skoe, Chandrasekaran, & Kraus, 2010; Chandrasekaran, Hornickel, Skoe, Nicol, & Kraus, 2009; Hornickel, Skoe, Nicol, Zecker, & Kraus, 2009), poor reading skills (Banai et al., 2005; 2009; Billiet & Bellis, IN PRESS; McAnally & Stein, 1996), poor language skills (Basu et al., 2009), and poor temporal processing abilities (Johnson, Nicol, Zecker, & Kraus, 2007).

Considerable work in our lab has examined the brainstem's response to the speech syllable /da/ because time-varying signals, in particular stop consonants, are known to be perceptually vulnerable in clinical populations (de Gelder & Vroomen, 1998; Tallal, 1980; Tallal & Stark, 1981; Tobey, Cullen, Rampp, & Fleischer-Gallagher, 1979; Townsend & Schwartz, 1981; Van Tasell, Hagen, Koblas, & Penner, 1982). The syllable consists of three time-domain components, the onset, transition, and steady state. The onset response corresponds to the onset of the consonant burst and is analogous to Wave V of the click-evoked ABR (Akhoun et al., 2008; Chandrasekaran & Kraus, 2010; Song et al., 2006). The transition region of the response, corresponding to the consonant–vowel formant transition, and the region corresponding to the steady state vowel are characterized by large periodic peaks occurring every 10 ms, paralleling the period of the 100-Hz fundamental frequency of the syllable, and smaller peaks corresponding to the harmonics.

The system of afferent fibers carrying sensory information to the midbrain (inferior colliculus) and auditory cortex, and the extensive system of efferent fibers that synapse all along

the auditory pathway extending to the outer hair cells in the cochlea (Gao & Suga, 2000), support the notion that the auditory brainstem is far more than a passive conduit of information to the cortex. Indeed, the efferent fiber count may actually exceed the number of afferent fibers. The importance of the efferent pathway (from the cortex to the inferior colliculus in the brainstem) to auditory learning was demonstrated in a sound localization experiment with ferrets (Bajo, Nodal, Moore, & King, 2010). Sound localization relies on binaural cues; however, adaptation to altered cues is possible after a period of training (A. J. King et al., 2007). Bajo et al. examined the effect of pharmacological inactivation of the corticocollicular pathway on the ferret's ability to relearn localization following occlusion of one ear. They found that auditory learning in the experimental animals was significantly impaired when comparing their performance with that of controls, thus reinforcing the role of the corticocollicular pathway for auditory learning. (See Tzounopoulos & Kraus, 2009, for review of subcortical experience-dependence.)

Speech-in-Noise Perception

Speech-in-noise perception depends on many factors involving interaction between sensory and cognitive processes. To focus on a particular speaker, the listener must form a perceptual object that enables the listener to distinguish the voice of a target speaker from other sounds (Shinn-Cunningham & Best, 2008). Object formation is determined by three primary aspects of the stimulus: location (Bronkhorst, 2000; Cherry, 1953), timing (Shinn-Cunningham & Best, 2008), and pitch (Bregman & McAdams, 1994; Brokx & Nooteboom, 1982; Darwin & Hukin, 2000; Moore, Peters, & Glasberg, 1985; Parikh & Loizou, 2005; Sayles & Winter, 2008). Pitch, derived primarily from the fundamental frequency (F_0) and its second harmonic (H_2) (Meddis & O'Mard, 1997), aids in voice tagging, enabling the listener to focus on the target speaker (Chandrasekaran et al., 2009).

Object formation is an important component of auditory stream segregation, or the ability to extract meaning from one particular sound source amid a background of competing sounds (Bee & Klump, 2004; Bregman, 1990; Micheyl et al., 2007; Micheyl, Tian, Carlyon, & Rauschecker, 2005; Snyder & Alain, 2007). Auditory stream segregation is mediated by attention and short-term memory (Cusack, Deeks, Aikman, & Carlyon, 2004; McLachlan & Wilson, 2010; Shinn-Cunningham & Best, 2008; Snyder, Carter, Lee, Hannon, & Alain, 2008; Sussman, Ritter, & Vaughan, 1998). For example, attention was found to modulate the streaming of alternating low- and high-frequency tones, such that the mismatch negativity response (a neural measure of auditory discrimination) was only obtained when participants were instructed to attend to high-pitched tone sequences and note deviants (low-pitched tone sequences) within this stream (Sussman et al., 1998). McLachlan and Wilson (2010) have proposed a model that engages the mechanisms of attention and short-term memory to excite an identification sequence

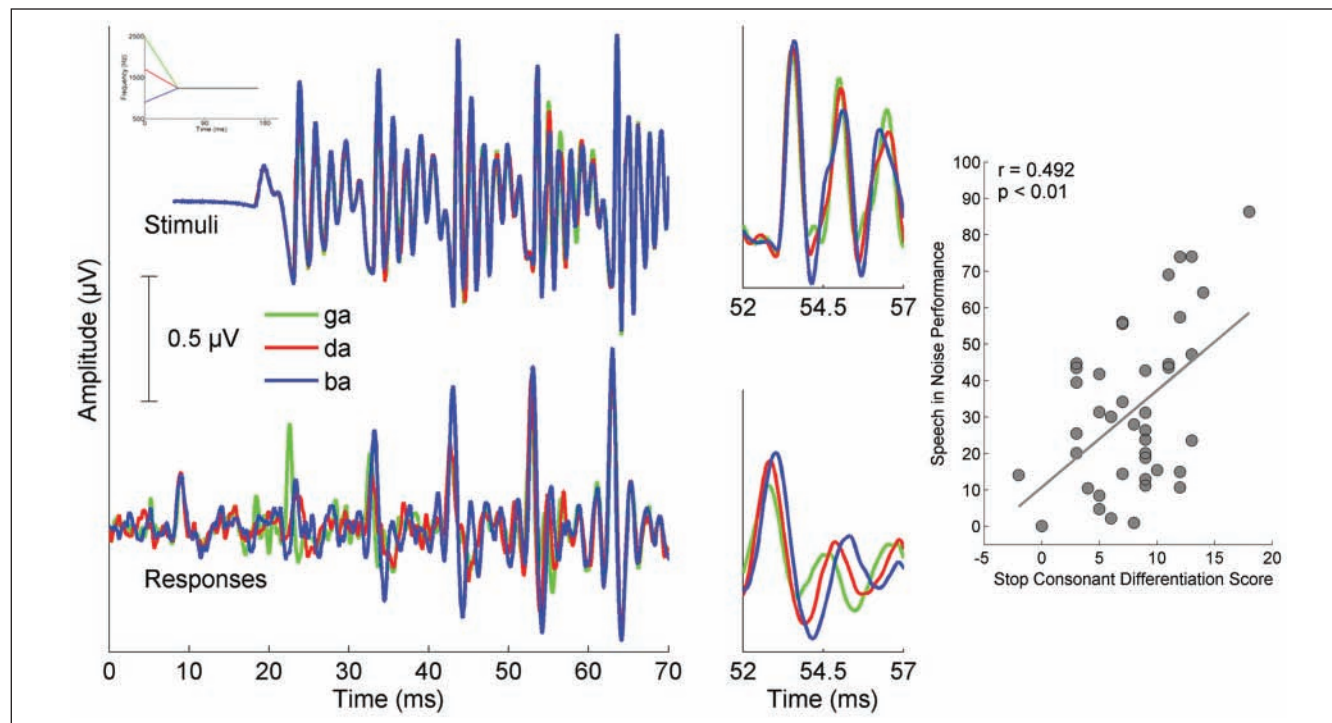


Figure 2. Stimulus timing and responses are presented for /ba/ (blue), /da/ (red), and /ga/ (green) syllables

Note. Time-domain grand average responses for 20 typically developing children (bottom panels) demonstrate timing differences in the response that reflect acoustic differences in the stimuli (top panels). The 52- to 57-ms region of the response is magnified to highlight latency differences that are present in the responses. The scatterplot on the right demonstrates a relationship between subcortical differentiation scores and speech-in-noise performance on the Hearing in Noise Test (HINT; $r = .492$, $p = .001$). Modified from Hornickel et al. (2009).

hierarchy, leading to modulation of thalamus and inferior colliculus spectrotemporal receptive fields to control auditory streaming.

Neurophysiologic evidence of stream segregation has been demonstrated at the level of the brainstem in the cochlear nucleus (Pressnitzer, Sayles, Micheyl, & Winter, 2008). Through single-unit recordings in the cochlear nucleus of the guinea pig, Pressnitzer et al. found evidence of stream segregation of pure tones of different frequencies presented in a repeating sequence of ABA triplets. Moreover, the neurometric functions derived from these recordings accurately predicted behavioral streaming in humans.

The neural mechanisms of SIN perception can also be examined in cABRs in humans. Brainstem encoding of stimulus elements (pitch, timing, and timbre) can be considered on a continuum of performance with impaired representation in poor readers (Banai et al., 2009), in children with autism spectrum disorder (Russo, Nicol, Trommer, Zecker, & Kraus, 2009), and in children with specific language impairment (Basu et al., 2009) or auditory processing disorders (Billiet & Bellis, IN PRESS) at one end of the spectrum, typically developing children in the middle of the spectrum, and auditory experts such as musicians (Bidelman, Gandour, & Krishnan, IN PRESS; Kraus & Chandrasekaran, 2010; Musacchia, Sams, Skoe, & Kraus, 2007; Parbery-Clark, Skoe, & Kraus, 2009;

Wong, Skoe, Russo, Dees, & Kraus, 2007) at the other end. Here we review subcortical encoding in children and adults who have been grouped on the basis of their SIN perception ability to improve our understanding of the biological factors contributing to SIN perception and to reach clinically viable strategies for the objective assessment of this key communication function.

Subcortical Temporal Representation and SIN Perception Consonant Differentiation

Temporal precision is a great strength of the auditory brainstem. Stop consonants (e.g., in the syllables /ba, da, ga/) are especially vulnerable to misperception in noise (Miller & Nicely, 1955; Tallal & Stark, 1981). These syllables differ in the time-varying trajectory of the second and third formant frequencies (F_2 and F_3) during the formant transition period from the consonant to the vowel. Timing differences evoked by acoustic differences in these syllables are reflected in the cABR, with /ga/ having the shortest response latencies, /ba/ having the longest latencies, and /da/ having latencies in between the two (Johnson et al., 2008), as expected given the tonotopicity of the brainstem nuclei (Gorga et al., 1988). See Figure 2.

Comparison of response spectra to a /da/ syllable presented in background noise in top (red) and bottom (black) SIN perceivers. Group differences were found at the F_0 of the stimulus (100 Hz), with the top SIN group having higher amplitudes than the bottom SIN group ($p=.0351$).

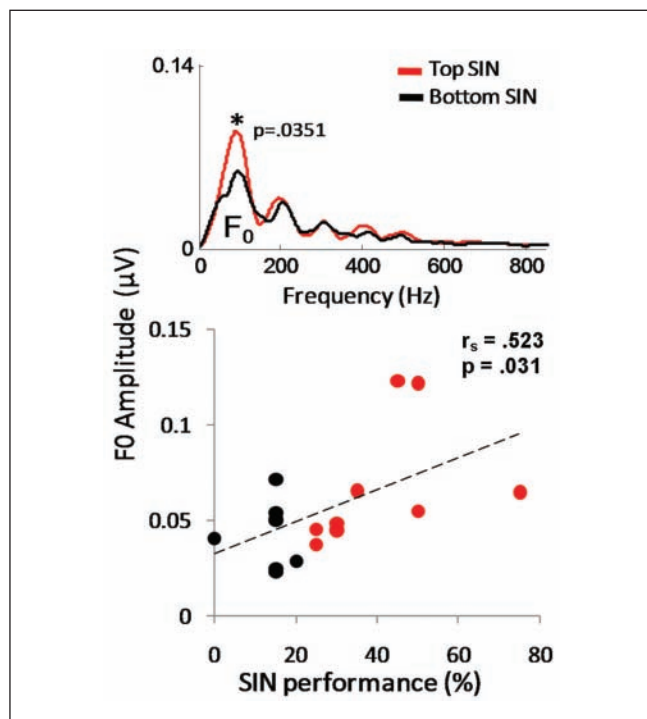


Figure 3. The strength of F_0 encoding is related to Quick Speech-in-Noise test scores (QuickSIN: $r_s = .523$, $p = .031$). Similar group differences for the F_0 have been found in children with good and poor SIN perception (Anderson et al., IN PRESS). Adapted from Song et al., (IN PRESS).

Hornickel et al. (2009) examined the relationship between stop consonant differentiation scores and SIN perception in children with a wide range of SIN abilities. Differentiation scores were calculated for the peaks in the brainstem response, taking into account the presence of the expected timing patterns for /ba/, /da/, and /ga/, as well the magnitude of the latency differences. A relationship was found between stop consonant differentiation scores and scores on the Hearing in Noise Test (HINT; Bio-logic Systems Corp., Mundelein, IL), a behavioral measure of SIN perception (Figure 2), and children who had better SIN perception also had the expected latency patterns for the three consonant–vowel syllables ($r = .492$; $p = .001$). In addition, when the children were divided into thirds based on HINT performance, the top third HINT performers had significantly better stop consonant differentiation scores than the bottom HINT group. $t(26) = 2.287$, $p = .031$.

Noise-Induced Timing Delays

Background noise has fairly predictable effects on the ABR, including latency increases and amplitude decreases (Burkard & Sims, 2002; Russo et al., 2004). The effect of background

babble on the cABR was examined in children with good and poor SIN perceptions (Anderson et al., 2010). The noise effect (delay in timing) was greatest shortly after stimulus onset, delaying the response to the onset as much as a full millisecond. In children with good SIN perception (as measured by the HINT), the latency shifts leveled off by 40 ms into the response, but the shifts did not level off in children with poor SIN perception until approximately 60 ms (Figure 4). This region, which corresponds to the formant transition region of the stimulus, is the most perceptually vulnerable segment of the response (Hedrick & Younger, 2007; Náb lek, Czyzewski, & Crowley, 1994; Tallal & Stark, 1981). Overall, noise caused inordinate timing delays in the transition region of the response in children with poor SIN perception, indicating that a temporal precision deficit in the auditory brainstem is a factor in difficulties with listening in background noise.

Subcortical Spectral Representation and SIN Perception

The role of pitch in stream segregation is well documented (Hedrick & Younger, 2007; Náb lek et al., 1994; Oxenham, 2008), and performance on segregation tasks has been shown to improve as F_0 differences increase (Assmann & Summerfield, 1987; de Cheveigne, 1997; Scheffers, 1983). The strength of the F_0 and lower harmonics, particularly H_2 , important object-grouping cues, underlies successful perception of speech in noise (Bregman, 1990; Brokx & Nooteboom, 1982; Darwin & Hukin, 2000; Gaudrain, Grimault, Healy, & Bera, 2008; Moore et al., 1985; Parikh & Loizou, 2005; Summers & Leek, 1998; Vongpaisal, Trehub, & Schellenberg, 2006). The subcortical representation of pitch turns out to be an important factor in SIN perception, with greater subcortical representation of the F_0 patterning with better SIN perception in young adults (Song, Skoe, Banai, & Kraus, IN PRESS). Speech-in-noise measures derived from the Quick Speech-in-Noise Test (QuickSIN; Etymotic Research, Elk Grove, IL; Killion, Niquette, Gudmundsen, Revit, & Banerjee, 2004) correlated with the magnitude of F_0 representation in response to /da/ presented in background babble ($r_s = .523$; $p = .031$), with larger F_0 representation patterning with better SIN perception (Figure 3). This relationship was particularly pronounced in the response to the formant (time-varying) region of the syllable, the region that is considered perceptually vulnerable to the effects of background noise (Hedrick & Younger, 2007; Náb lek et al., 1994; Tallal & Stark, 1981). Notably, greater strength in the representation of pitch cues (F_0 and H_2) is linked to better speech perception ability in children (Anderson, Skoe, Chandrasekaran, Zecker, & Kraus, IN PRESS).

Subcortical, On-Line Statistical Learning and SIN Perception

The importance of taking advantage of statistical regularities in signals is demonstrated when an infant learns to segment words from running speech. Word segmentation, an important

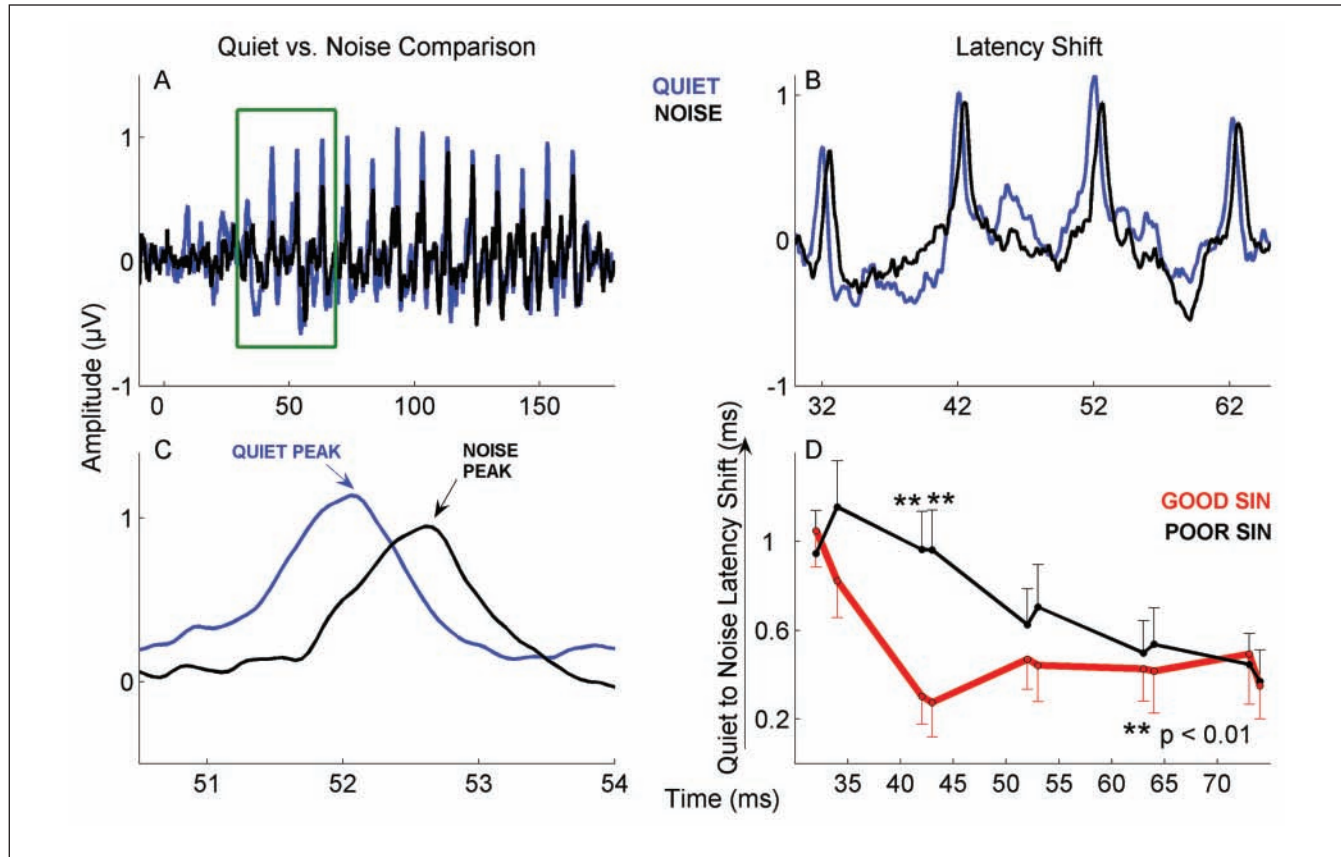


Figure 4. Effects of noise on brainstem responses in children with good and poor speech-in-noise (SIN) perceptions. Note. The effects are most evident in the formant transition region (A, boxed) of the response from 30 to 60 ms in the grand average waveforms ($N = 66$ children, B and C). Greater noise-induced latency shifts were noted in the children with poor speech-in-noise perception relative to children with good speech-in-noise perception ($p < .01$; D). Modified from Anderson et al. (2010).

aspect of language acquisition, is based on the probability of adjacent speech sounds occurring within the same word or between words, and this statistical learning occurs remarkably quickly, within 2 minutes (Aslin, Saffran, & Newport, 1998; Kirkham, Slemmer, & Johnson, 2002; Saffran, Aslin, & Newport, 1996). Adaptive (on-line) sensory processing is a hallmark of an efficient sensory system. Several studies have documented on-line plasticity in the spectrotemporal receptive fields of auditory pathway neurons (Atiani, Elhilali, David, Fritz, & Shamma, 2009; Fritz, Elhilali, & Shamma, 2005; Fritz, Elhilali, David, & Shamma, 2007; McAlpine, Martin, Mossop, & Moore, 1997). Gain and shape changes in respective fields in the ferret primary auditory cortex vary systematically with task difficulty in a tone-in-noise task, resulting in an enhanced representation of the target tone (Atiani et al., 2009). Furthermore, on-line adaptation to time-compressed speech has been observed in humans in a functional magnetic resonance imaging study, with an association between rapid task learning and increased activation of the right and left auditory association cortices and left motor cortex (Adank & Devlin, 2010).

On-line plasticity occurs in the auditory brainstem responses of children with a wide range of SIN perception abilities (Chandrasekaran et al., 2009). In typically developing children, a sharpening of brainstem responses was seen when responses to speech syllables were recorded in a predictable condition versus a variable condition (Figure 5). Importantly, the magnitude of benefit found in the regularly repeating condition correlated positively with SIN perception, as measured by the HINT. The enhancement of H_2 in the predictable condition, associated with SIN perceptual ability, was interpreted as providing a mechanism for more effective “tagging” of a speaker’s voice.

Effects of Auditory Training on Brainstem Encoding and SIN Perception

Evidence of brainstem plasticity has been demonstrated in humans. Children with learning disabilities had improved brainstem responses to speech presented in a noise background after participating in an auditory training program (Earobics;

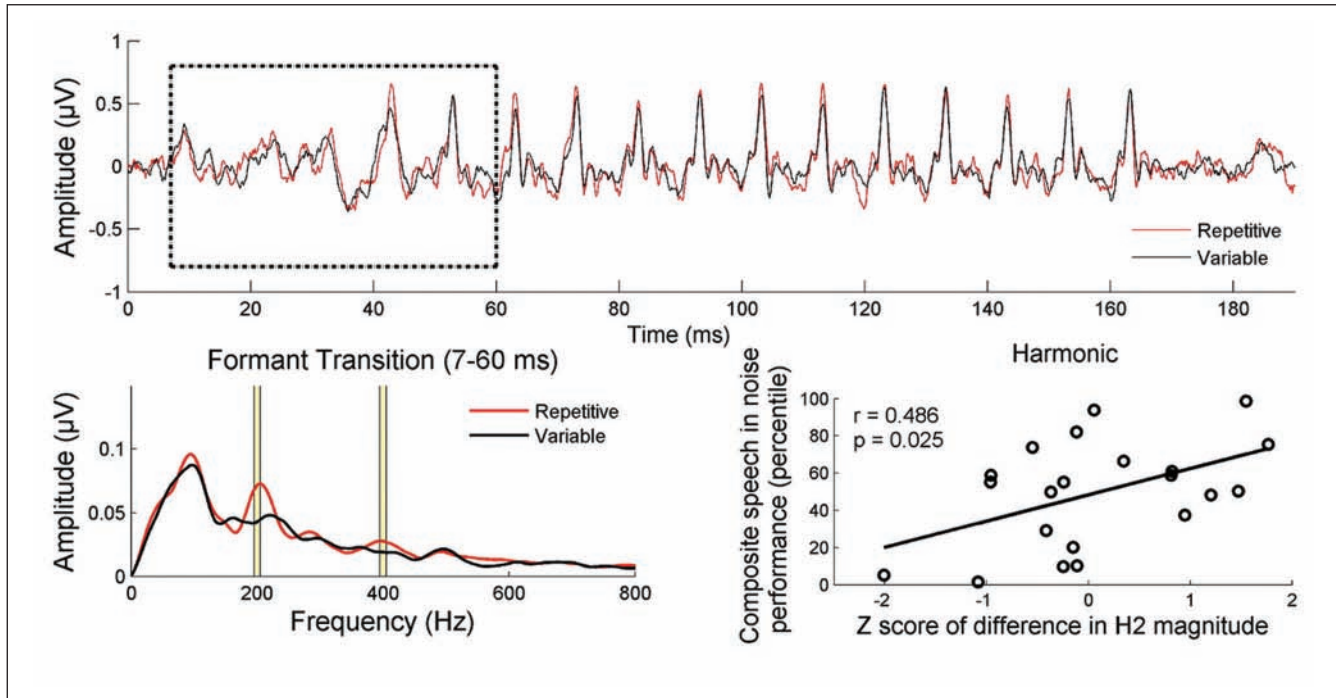


Figure 5. Grand average response waveforms of typically developing children ($N = 21$) in response to repetitive (red) versus variable (black) presentation of speech syllable /da/ (top panel)

Note. The black rectangle outlines the formant transition region from 20 to 60 ms. Grand average spectra of repetitive versus variable presentations demonstrate enhanced magnitude of second and fourth harmonics in the repetitive condition (bottom left). The difference scores between repetitive versus variable conditions relate to Hearing in Noise Test scores ($r = .486$; $p = .025$; bottom right). Modified from Chandrasekaran et al. (2009).

Scientific Learning, Oakland, CA); moreover, the cABR prior to training was predictive of the benefit obtained from training (C. King, Warrier, Hayes, & Kraus, 2002; Russo, Nicol, Zecker, Hayes, & Kraus, 2005). Young adult native speakers of English showed greater accuracy in brainstem pitch tracking to a pitch contour (a nonnative speech cue) following training on a word identification task incorporating lexical pitch (Song, Skoe, Wong, & Kraus, 2008). Learning-associated brainstem changes were found in subcortical pathways revealed by otoacoustic emissions (de Boer & Thornton, 2008). These studies support the malleability of brainstem responses to complex sounds.

Musicians are auditory experts, and this expertise develops from years of experience selectively attending to relevant cues in a complex sound scape (e.g., melodies from background harmonics, the sound of one's own instrument). This experience can be considered a form of auditory stream segregation and object formation. Musicians have a behavioral advantage for SIN perception, having better scores on the HINT and the QuickSIN, and this benefit extends to working memory (Parbery-Clark, Skoe, Lam, & Kraus, 2009). In addition, years of consistent musical practice correlates positively both with QuickSIN and working memory (Figure 6). This study was followed by a comparison of cABRs with speech stimuli in quiet and in noise between musicians and nonmusicians. Musicians had less degradation of responses in background noise than nonmusicians (Figure 7), indicating that musical experience results in more robust subcortical speech representation

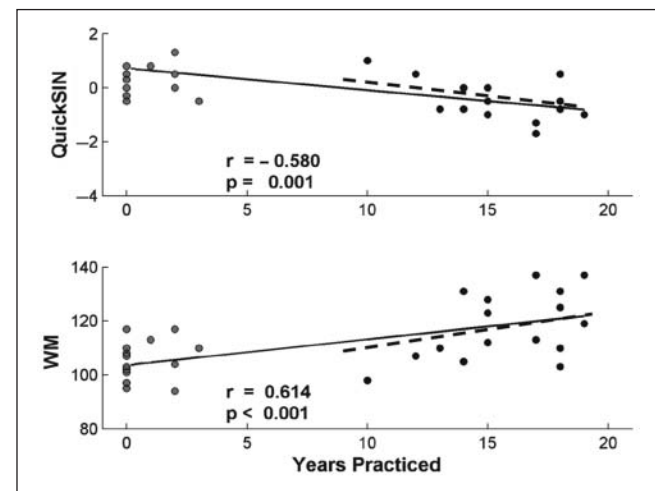


Figure 6. Years of musical practice relate to Quick Speech-in-Noise Test (QuickSIN) scores ($r = -.580$; $p = .001$) and working memory scores

Note. Composite score based on the Digits Reversed and Auditory Working Memory (WM) subtests of the Woodcock-Johnson III Test of Cognitive Abilities ($r = .614$; $p < .001$). Adapted from Parbery-Clark et al. (2009).

in background noise. Specifically, musicians showed less noise-induced timing delays in response to the onset and formant transition portion of the syllable /da/, consistent with parallel findings in school-age children discussed above

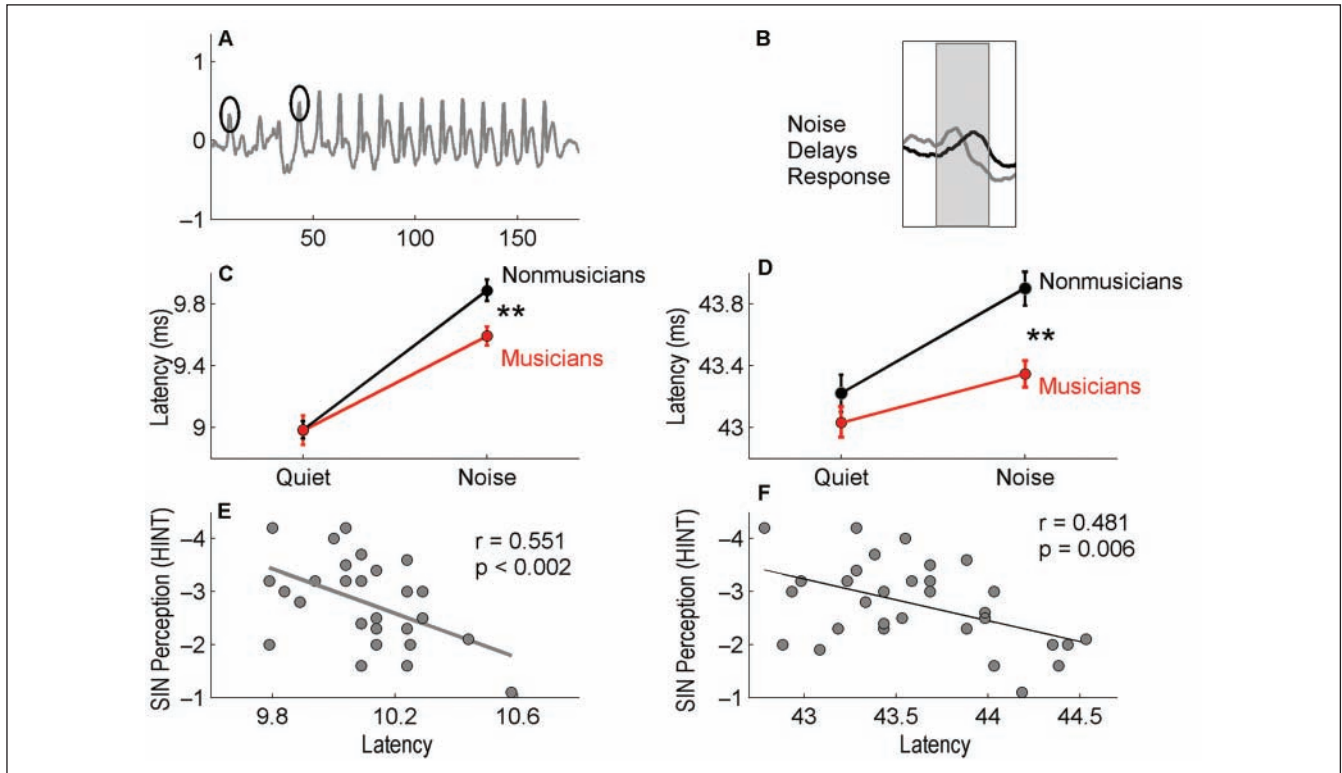


Figure 7. Comparison of brainstem responses to the speech syllable /da/ in quiet and babble noise conditions in musicians versus nonmusicians

Note. The selected peaks (onset and transition) are circled (A). Noise delays peak latencies (B), particularly in the onset and transition portions of the response. The musicians (red) show significantly shorter timing delays in noise than nonmusicians (black) for the onset (C) and transition peaks (D). The latency of the onset (E) and transition peaks (F) is correlated with SIN perception. Modified from Parbery-Clark et al. (2009).

(Anderson et al., 2010). The extent of timing disruption was correlated with SIN ability (less delay, better SIN perception on HINT measures). This enhancement of responses may be the result of top-down, corticofugal sharpening of relevant acoustic features.

Short-term auditory training is effective in improving SIN perception. The Listening and Communication Enhancement (Neurotone, Redwood City, CA) program uses adaptive computerized auditory training to improve SIN performance in people who have hearing loss or have difficulty in hearing background noise. After using this program 30 minutes a day, 5 times a week, for 4 weeks, participants' scores on the HINT and QuickSIN improved. Improvement was also documented on self-assessment measures, including the Hearing Handicap Inventory for the Elderly/Adults (Ventry & Weinstein, 1982) and the Communication Scale for Older Adults (Kaplan, Bally, Brandt, Busacco, & Pray, 1997; see also Sweetow & Sabes, 2006).

Training with an auditory-based cognitive training program (Brain Fitness Program, Posit Science, San Francisco, CA) has revealed improvements in auditory memory and attention in adults aged 65 years and older with no history of cognitive impairment. Given the previously established relationship between auditory working memory and SIN perception

(Parbery-Clark, Skoe, Lam, & Kraus, 2009) and memory and attention and auditory stream segregation (Shinn-Cunningham & Best, 2008), this kind of training program may benefit SIN perception as well as auditory cognitive skills. We argue that training that engages both sensory and cognitive aspects of SIN perception are likely to be the most effective in driving SIN improvements (Kraus & Chandrasekaran, 2010). Germane to the topic of this review, brainstem responses can reveal this cognitive sensory interplay. Efforts are currently under way in our lab to examine the impact of auditory training on neural and behavioral measures of SIN perception.

Case Study

Figure 8 showcases how cABR can provide an objective clinical measure of SIN perception and a metric for predicting treatment benefit and for documenting training-related changes. The figure illustrates preliminary data from an ongoing study of the relationship between subcortical processing and SIN perception. We feature two older adults who self-report good SIN perception (age 61 years) and poor SIN perception (age 62 years) and have essentially identical normal audiograms. They differ on a behavioral measure of SIN (HINT scores were -1.0 vs. -3.6) and on a subset of four

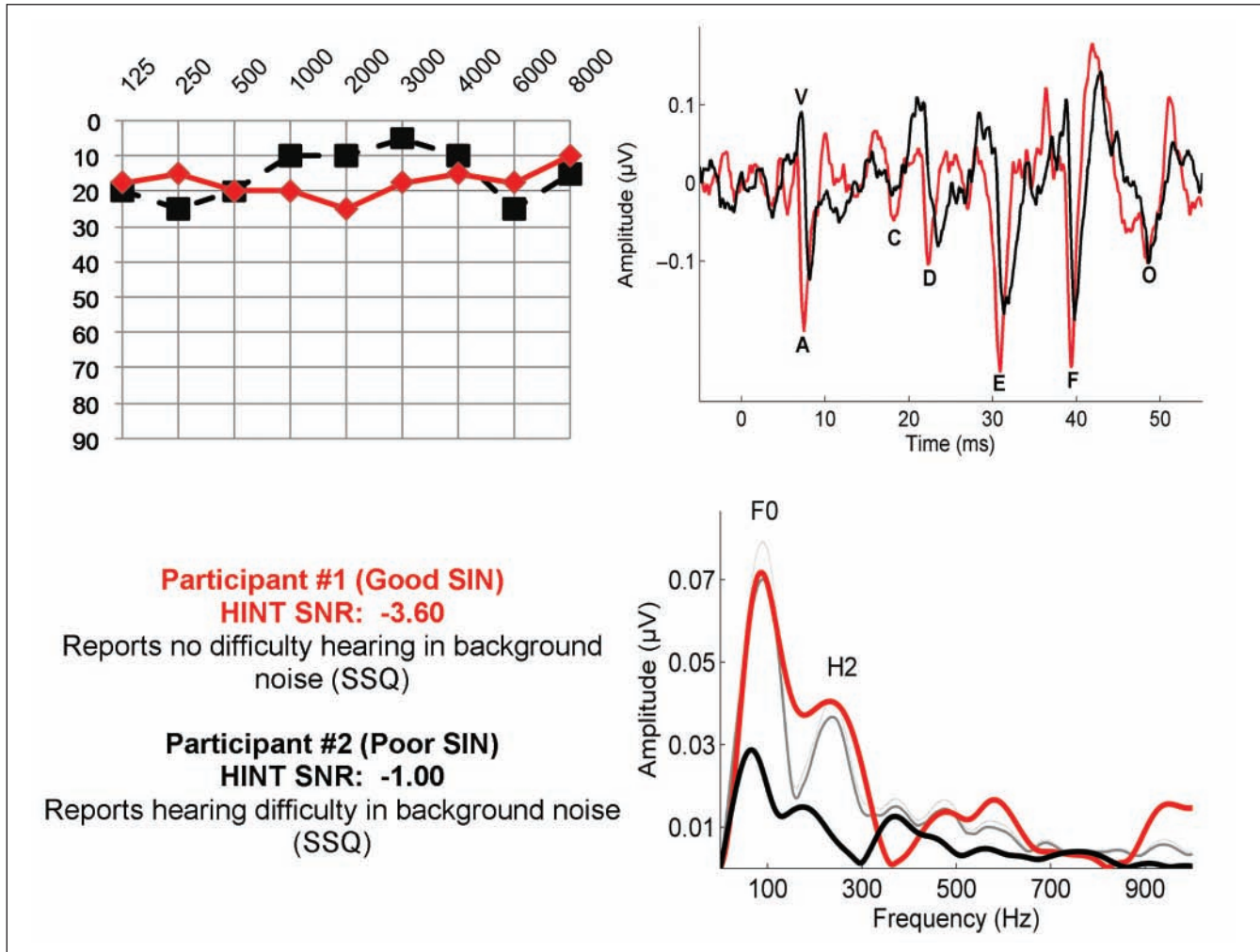


Figure 8. Case study demonstrating the cABRs of 2 participants with nearly identical audiograms (top left) but differing ability to hear in background noise on the Speech, Spatial, and Qualities of Hearing Scale (SSQ; 8.5/10 vs. 4/10 on four background-noise-related questions; bottom left)

Note. The participant with better SIN (Hearing in Noise Test [HINT]: -3.6 , age 61 years) has faster brainstem timing (top right) and greater representation of pitch (F_0 and H_2 ; bottom left) than the participant with poorer SIN (HINT: -1.0 , age 62 years). The solid gray line in the fast Fourier transform plot represents the grand average response of 20 normal-hearing participants ages 60 years and older, and the dotted gray line represents the standard error.

background-noise-related questions on the Speech, Spatial, and Qualities of Hearing Scale (Gatehouse & Noble, 2004; mean score of 8.25/10 vs. 4/10). We obtained brainstem responses to a 40 ms /da/ syllable using BioMARK (Biological Marker of Auditory Processing), a clinical technology available as an addition to the Navigator Pro-Auditory Evoked Potential hardware (Natus Medical Incorporated, San Carlos, CA). The individual with good SIN perception has earlier peak latencies and greater subcortical F_0 representation than the individual with poor SIN perception. The differences in the brainstem responses provide quite a marked contrast and are consistent with the participants' own perception of abilities.

Conclusions

Speech-in-noise perception is influenced by many factors, and the brainstem encoding of spectral (F_0 and H_2) and temporal features of speech appears to be a significant factor in the ability to successfully communicate in background noise. The cABR is objective, highly reliable, and can be used to examine the brainstem encoding of temporal and spectral features of speech and other complex sounds. Brainstem processing is experience-dependent, and a sharpening of responses occurs with short- and long-term training as well as on-line adaptation to stimulus regularities. The cABR continues to inform us of

the biology underlying SIN perception and promises to be a useful clinical tool in the assessment and management of SIN perception difficulties.

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Note

1. See demonstration on home page: <http://www.brainvolts.northwestern.edu>.

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